**Annual implementation report for the Investment for growth and jobs goal**

**PART A**

# IDENTIFICATION OF THE ANNUAL IMPLEMENTATION REPORT

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# OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (Article 50(2) and 111(3)(a) of Regulation (EU) No 1303/2013)

## Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.

|  |
| --- |
| **Programme Monitoring Committee**  Due to COVID 19 the Programme Monitoring Committee (PMC) business was conducted via written procedure on 16th July and 10th December 2020. A revised version of the Communications Plan and Evaluation Strategy was approved for 2021. Papers relating to the meetings have been uploaded to the EC Database (SFC2014).    **Programme Database**  The Database User Group, which oversees the ongoing operation of Northern Ireland’s European Structural and Investment Funds (ESIF) database, and consists of representatives of the ERDF, ESF and RDP programmes, has continued to monitor the system and ensure its effective functioning in line with programme requirements.    **Communication and Publicity**  The Managing Authority continues to use the Programme website (http://www.jobsandgrowthni.gov.uk) as the main communication tool for guidance, case studies and news.  Information and publicity on Programme activity is also distributed through DfE social media platforms this has proved an effective and wide reaching form of communication.  Approximately 90 tweets relating to the ERDF programme have been issued from DfE Press Office twitter account **@Economy\_NI** during 2020.    **Major Event**  The Managing Authority’s planned Major Information Activity, the Networking Conference and Business Showcase Event to be held in St George’s Market in Belfast had to be cancelled this year due to Covid19 restrictions and the search for an appropriate virtual event proved fruitless.  In light of the on-going restrictions the Managing Authority decided in lieu of the event to publish an ERDF information document on social media to promote the Programme and its achievements. The document  was also published on the Programme website link below for your information  http://www.jobsandgrowthni.gov.uk/downloads/European\_Regional\_Development\_Fund\_Information\_Brochure.PDF    **Expenditure[1]**  **Payment Applications**  Two payment applications were submitted to the EC during the course of 2020. A total of £23,414,417 ERDF was included in those applications and this translated to €26,231,230  **N+3 Targets**  The 2020 cumulative N+3 spend target of €114,240,155 had already been met through the payment applications submitted by the end of 2019.  With the €26,231,230 achieved in 2020, the cumulative total to 31 December 2020 is €176,839,272.  The level of expenditure delivered was also sufficient to achieve the N+3 spend target for 2021 (€148,780,702).  The N+3 position is summarised in the table below:  **Cumulative ERDF Spend Required for 2020 N+3 Target -**€114,240,155  **Cumulative ERDF Spend Required for 2021 N+3 Target -**€148,780,702  **Cumulative ERDF Spend Achieved by 31 Dec 2020 -**€176,839,272    **Performance**  The Programme aims to increase economic growth in Northern Ireland and a number of macroeconomic (NI level) performance targets have been included in the Programme. Whilst ERDF funding is not solely responsible for these results, the activity supported makes a positive contribution. Macro targets are showing a mostly positive trend:   * NI Business Expenditure on Research and Development (BERD) figure as a percentage of GVA has remained the same as last year at 1.3%. The Office of National Statistics (ONS) GVA information from which the data is collated is produced a year in arrears, and has been delayed from the usual December release date to May. Therefore, the 2020 position will not be available until May 2021.  The output for 2019 therefore has been replicated in 2020 per EGESIF guidance * Companies engaged in R&D for the first time has increased from a baseline of 495 in 2012 to 966 in 2020; this has already exceeded the 2023 target value of 700. * The number of Small and Medium Sized Enterprise (SME) high growth companies has increased from a baseline of 695 in 2013 to 995 in 2020; despite the decrease from 1020 in 2019 approximately 70% of 2023 programme target value (1300-1500) has already been met. * Employment within micro and small businesses has shown a significant rise in 2020 by 7.4%. The unexpected rise in 2020 given the impact of the pandemic seems to be led by self-employed people in businesses with no employees changing their status to "employed". According to the latest Labour Market Report (Labour Market Report (nisra.gov.uk)) “Although the majority of the decrease in employment since last year was due to decreases in the number of self-employed (which fell by 17%), analysis from ONS at a UK level has highlighted that decreases in the number of self-employed was driven, in part, by a movement of people from self-employed to employee.” 2020 Employment is up by 19.6% compared to the base year and currently exceeds the target.   **Note:** The euro figures achieved that are quoted in this section of the report are actual values arising from the application of the various exchange rates relating to each payment application to date.    [1] Unless otherwise explicitly stated, **references to any total in the AIR should equal 60% ERDF and 40% match**. Nothing over and above this 100% calculation should be reported. |

# IMPLEMENTATION OF THE PRIORITY AXIS (Article 50(2) of Regulation (EU) No 1303/2013)

## Overview of the implementation

| ID | Priority axis | Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems |
| --- | --- | --- |
| 1 | Strengthening Research, Technical Development and Innovation | Letters of offer for grant for R&D of £145,316,756.20 (€162,469,945.88 ERDF) to 322 projects have been issued. Invest NI is confident that the full allocation for GRD will be utilised over the life of the Programme. 228 unique businesses have benefited from this assistance.  The ‘Design for Business’ Programme offers various strands to help companies address their design issues. 989 (unique) enterprises have benefitted from the Design for Business scheme a total of 1520 times (this includes enterprises who have participated in the initial design awareness service and have gone on to the follow up with the more strategic offering from the Design programme).  Design Advice – up to half a day independent design advice from a Design expert for all Invest NI customers only. 500 enterprises (unique) have taken advantage of Design Advice.  Design Clinics – 1hr design advice appointment. Clinics were held in our regional office network one day per month and open to everyone covering wider business base. Clinics ceased as part of Design Service in Aug 2015. 68 enterprises (unique) had attended Design Clinics  RADE – Regional Awareness Design Events (RADEs) are workshop events held across NI regions covering a specific design related topic. In June 2019 these were rebranded as “Design Bites.” 361 enterprise (unique) have attended a RADE or Design Bites events.  Design Active – 4 month programme to help businesses explore where and how design can deliver tangible outputs for their company and assist them to address a specific design need. 54 enterprises (unique) have participated.  DesignForward – is a follow on from Design Active and allows companies to further implement the design project. |
| 2 | Enhancing the Competitiveness of SMEs | Priority 2 includes Financial Instruments (FIs), Local Economic Development (LED) and Selective Financial Assistance (SFA)  Techstart SME fund has completed 101 investments. The fund has invested £12,070,612.55 / €13,495,427.66 ERDF with £27,719,554.23 / €30,991,570.41 of private/public match giving a Fund investment of £39,790,166.78 / €44,486,998.07  Two Techstart university funds have completed 30 investments These funds have invested £2,665,646.90 / €2,980,299.86 ERDF with additional private/public match of £4,105,730.88 / €4,590,371.35 giving a Fund investment of £6,771,377.78 / €7,570,671.21  Development Fund I (Crescent Capital) completed 38 investments totalling £23,281,438.82 / €26,029,579.86 (£8,645,783.50 €9,666,331.78 ERDF & £14,635,655.32 / €16,363,248.07 match)  Development Fund II (Kernal) completed 33 investments totalling £23,546,994.79 / €26,326,482.06 (£6,937,526.17 / €7,756,431.76m ERDF & £16,609,468.62 / €18,570,050.30 match)  The Co-Investment Fund completed 59 investments totalling £15,664,438.67 / €17,513,469.01 (£6,219,208.74 / €6,953,324.14 ERDF & £9,445,229.93 / €10,560,144.87 match)  The Co-Investment II ERDF completed 60 investments totalling £17,955,932.31 / €20,075,450.56m. (£4,662,419.54 / €5,212,771.54 ERDF & £13,293,512.77 / €14,862,679.02m match)  Growth Loan Fund II completed 21 investment totalling £7,289,265.65 / €8,149,690.57. (£4,373,559.39 / €4,889,814.34 ERDF & £2,915,706.26 / €3,259,876.23 match)  LED 39 projects have accepted letters of offer (11 unique) 150 referrals from Councils for businesses looking to explore further support opportunities as they develop their business have been recieved  SFA 193 SFA offers of capital support have been issued. £25,302,342 / €28,289,030.45 ERDF. |
| 3 | Supporting the Shift Towards a Low Carbon Economy in all Sectors | Low Carbon - Belfast Rapid Transit  The Department for Infrastructure (DfI) projects associated with the Belfast Rapid Transit scheme (Bus ticketing hardware project and Bus Depot project) have both been completed successfully and the final claims for the Depot and Ticketing were submitted in a drawdown which was accepted by the EC on 14th December 2020. At the last PMC, members were advised that a post Implementation Review was conducted of the depot building, following 12 months operation. A link to which can be found on the IGJ website http://www.jobsandgrowthni.gov.uk/publications/evaluation. A second evaluation which will focus on the wider impacts of the project is anticipated to commence in 2021.  Energy Efficiency in Social Housing  The designation of the Department for Communities (DFC) as an IB was completed on 29th March 2019. Funding totalling €38.2m for the Energy Efficiency in Social Housing Project has been assigned to DFC. Up until December 2020, work has been completed on 73 properties which is much lower than had been anticipated at this stage. The Covid19 restrictions delayed the programme works by approximately 19 weeks during 2020 and continue to hamper a return to full working.  DfC in conjunction with the NI Housing Executive (NIHE) are currently conducting a review to ascertain what impact this delay as well as any potential future delays resulting from ongoing COVID 19 restrictions could have on the Projects ability to spend its full allocation and meet its performance targets. On receipt of this information, Managing Authority will analyse and identify any appropriate corrective action that can be taken to ensure that the Programme utilises its maximum allocation. |
| 4 | Technical Assistance | In April 2020, the Managing Authority availed of the flexibility introduced by the EC in response to the Covid-19 pandemic to transfer some funding into Priority 1. Of the total amount transferred (€13.735m ERDF), €0.985m came from Priority 4, reducing the TA allocation from €12.321m to €11.336m.  A formal programme modification was submitted to the EC in December 2020. This included a proposal to transfer a further €1.5m ERDF from Priority 4 to Priority 1. The proposal, which reduced the TA allocation to €9.836m, was approved by the EC in January 2021.  €8.6m (88% of the current allocation) has been committed across Managing Authority, Member State, Audit Authority, Certifying Authority and Intermediate Bodies. This funding has been utilised to meet administration, management, verification, communication, capacity building, expert advice and control cost requirements. TA funding has also supported the development and maintenance of the programme website and of the programme database.  Notably, the support provided through TA has helped to ensure that the programme was able to achieve its N+3 targets to date, as well as its 2018 performance reserve milestones. TA funding was used to develop a dedicated programme website and this has continued to provide a platform through which to promote the programme. The website also serves as a single point of reference for various programme stakeholders.  The ongoing costs associated with running and maintaining the programme database continue to be funded through TA. The database is used to support all the payment applications submitted to the EC.  There have been no signicant issues encountered in the delivery of Priority Axis 4 during 2020. |

## Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

**Priority axes other than technical assistance**

|  |  |
| --- | --- |
| Priority axis | 1 - Strengthening Research, Technical Development and Innovation |
| Investment Priority | 1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services |

## Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1b

| **(1)** | **ID** | **Indicator** | **Measurement unit** | **Category of region** | **Target value (2023) total** | **Target value (2023) men** | **Target value (2023) women** | **2020 Total** | **2020 Men** | **2020 Women** | **Observations** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | Transition | 1,674.00 |  |  | 1,165.00 |  |  |  |
| S | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | Transition | 1,674.00 |  |  | 1,170.00 |  |  |  |
| F | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | Transition | 297.00 |  |  | 221.00 |  |  |  |
| S | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | Transition | 297.00 |  |  | 228.00 |  |  |  |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | Transition | 1,377.00 |  |  | 989.00 |  |  |  |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | Transition | 1,377.00 |  |  | 989.00 |  |  |  |
| F | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | Enterprises | Transition | 26.00 |  |  | 19.00 |  |  |  |
| S | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | Enterprises | Transition | 26.00 |  |  | 20.00 |  |  |  |
| F | CO27 | Research, Innovation: Private investment matching public support in innovation or R&D projects | EUR | Transition | 82,683,489.00 |  |  | 66,599,348.00 |  |  |  |
| S | CO27 | Research, Innovation: Private investment matching public support in innovation or R&D projects | EUR | Transition | 82,683,489.00 |  |  | 66,599,348.00 |  |  |  |
| F | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | Enterprises | Transition | 261.00 |  |  | 190.00 |  |  |  |
| S | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | Enterprises | Transition | 261.00 |  |  | 196.00 |  |  | Projects added in 2020 however some previous projects were also removed therefore net decrease of one project from 2019 figure. |
| F | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | Enterprises | Transition | 265.00 |  |  | 200.00 |  |  |  |
| S | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | Enterprises | Transition | 265.00 |  |  | 206.00 |  |  | Projects added in 2020 however some previous projects were also removed therefore net decrease of one project from 2019 figure. |
| F | PO11 | Number of Enterprises engaged in R&D for the first time | Enterprises | Transition | 200.00 |  |  | 193.00 |  |  |  |
| S | PO11 | Number of Enterprises engaged in R&D for the first time | Enterprises | Transition | 200.00 |  |  | 199.00 |  |  |  |

(1) S=Cumulative value ― outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value ― outputs delivered by operations [actual achievement]

| **(1)** | **ID** | **Indicator** | **2019 Total** | **2019 Men** | **2019 Women** | **2018 Total** | **2018 Men** | **2018 Women** | **2017 Total** | **2017 Men** | **2017 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO01 | Productive investment: Number of enterprises receiving support | 1,027.00 |  |  | 869.00 |  |  | 597.00 |  |  |
| S | CO01 | Productive investment: Number of enterprises receiving support | 1,036.00 |  |  | 871.00 |  |  | 633.00 |  |  |
| F | CO02 | Productive investment: Number of enterprises receiving grants | 216.00 |  |  | 198.00 |  |  | 119.00 |  |  |
| S | CO02 | Productive investment: Number of enterprises receiving grants | 228.00 |  |  | 200.00 |  |  | 158.00 |  |  |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | 852.00 |  |  | 700.00 |  |  | 492.00 |  |  |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | 852.00 |  |  | 700.00 |  |  | 492.00 |  |  |
| F | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 15.00 |  |  | 13.00 |  |  | 9.00 |  |  |
| S | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 16.00 |  |  | 13.00 |  |  | 13.00 |  |  |
| F | CO27 | Research, Innovation: Private investment matching public support in innovation or R&D projects | 53,608,952.00 |  |  | 52,800,783.00 |  |  | 45,842,740.00 |  |  |
| S | CO27 | Research, Innovation: Private investment matching public support in innovation or R&D projects | 53,608,952.00 |  |  | 52,800,783.00 |  |  | 45,842,740.00 |  |  |
| F | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 186.00 |  |  | 169.00 |  |  | 126.00 |  |  |
| S | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 197.00 |  |  | 170.00 | 0.00 |  | 133.00 |  |  |
| F | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 196.00 |  |  | 179.00 | 0.00 |  | 136.00 |  |  |
| S | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 207.00 |  |  | 180.00 |  |  | 143.00 |  |  |
| F | PO11 | Number of Enterprises engaged in R&D for the first time | 144.00 |  |  | 79.00 |  |  | 35.00 |  |  |
| S | PO11 | Number of Enterprises engaged in R&D for the first time | 154.00 |  |  | 80.00 |  |  | 56.00 |  |  |

| **(1)** | **ID** | **Indicator** | **2016 Total** | **2016 Men** | **2016 Women** | **2015 Total** | **2015 Men** | **2015 Women** | **2014 Total** | **2014 Men** | **2014 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO01 | Productive investment: Number of enterprises receiving support | 308.00 |  |  | 174.00 |  |  | 24.00 |  |  |
| S | CO01 | Productive investment: Number of enterprises receiving support | 318.00 |  |  | 176.00 |  |  | 25.00 |  |  |
| F | CO02 | Productive investment: Number of enterprises receiving grants | 92.00 |  |  | 64.00 |  |  | 24.00 |  |  |
| S | CO02 | Productive investment: Number of enterprises receiving grants | 101.00 |  |  | 66.00 |  |  | 25.00 |  |  |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | 224.00 |  |  | 111.00 |  |  | 0.00 |  |  |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | 224.00 |  |  | 111.00 |  |  | 0.00 |  |  |
| F | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 9.00 |  |  | 5.00 |  |  | 2.00 |  |  |
| S | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 12.00 |  |  | 5.00 |  |  | 2.00 |  |  |
| F | CO27 | Research, Innovation: Private investment matching public support in innovation or R&D projects | 37,107,786.00 |  |  | 17,705,298.00 |  |  | 7,610,352.00 |  |  |
| S | CO27 | Research, Innovation: Private investment matching public support in innovation or R&D projects | 37,107,786.00 |  |  | 17,705,298.00 |  |  | 7,610,352.00 |  |  |
| F | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 87.00 |  |  | 58.00 |  |  | 23.00 |  |  |
| S | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 87.00 |  |  | 58.00 |  |  | 23.00 |  |  |
| F | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 94.00 |  |  | 62.00 |  |  | 23.00 |  |  |
| S | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 94.00 |  |  | 62.00 |  |  | 23.00 |  |  |
| F | PO11 | Number of Enterprises engaged in R&D for the first time | 20.00 |  |  | 12.00 |  |  | 3.00 |  |  |
| S | PO11 | Number of Enterprises engaged in R&D for the first time | 24.00 |  |  | 13.00 |  |  | 3.00 |  |  |

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| --- | --- |
| Priority axis | 1 - Strengthening Research, Technical Development and Innovation |
| Investment Priority | 1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services |
| Specific objective | SO1 - Increase total NI Business Expenditure on Research & Development (NI BERD) |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2020 Total | 2020 Qualitative | Observations |
| SO1 | BERD as a % of GVA | % | Transition | 1.40 | 2012 | 1.80 | 1.30 |  | The GVA information from which the data is collated is produced a year in arrears, due to the Covid 19 restrictions and has been further delayed from the usual December release date to May. Therefore, the 2020 position will not be available until May 2021. The output for 2019 therefore has been replicated in 2020 per EGESIF guidance. Achievement is on track. |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2019 Total | 2019 Qualitative | 2018 Total | 2018 Qualitative | 2017 Total | 2017 Qualitative | 2016 Total | 2016 Qualitative |
| SO1 | BERD as a % of GVA | 1.30 |  | 1.30 |  | 1.30 |  | 1.30 |  |

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| --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2015 Total | 2015 Qualitative | 2014 Total | 2014 Qualitative |
| SO1 | BERD as a % of GVA | 1.40 |  | 1.20 |  |

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| --- | --- |
| Priority axis | 1 - Strengthening Research, Technical Development and Innovation |
| Investment Priority | 1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services |
| Specific objective | SO2 - To engage more NI businesses in Research and Development. |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2020 Total | 2020 Qualitative | Observations |
| SO2 | Companies engaged in R&D | Enterprises | Transition | 495.00 | 2014 | 700.00 | 966.00 |  | The information from which the data is collated is produced a year in arrears. Therefore, the 2020 position will not be available until the end of 2021. In line with previous years, the total reported in the 2020 AIR is actually the output for 2019. Business, government and higher education estimates of R&D performance for 2019 has been revised to take account of late returns and misreporting.  The target has already been exceeded and a revised target, aligned with the Northern Ireland Programme for Government (once published) will be discussed with the European Commission as soon as possible. |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2019 Total | 2019 Qualitative | 2018 Total | 2018 Qualitative | 2017 Total | 2017 Qualitative | 2016 Total | 2016 Qualitative |
| SO2 | Companies engaged in R&D | 961.00 |  | 961.00 |  | 897.00 |  | 748.00 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2015 Total | 2015 Qualitative | 2014 Total | 2014 Qualitative |
| SO2 | Companies engaged in R&D | 713.00 |  | 659.00 |  |

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| --- | --- |
| Priority axis | 2 - Enhancing the Competitiveness of SMEs |
| Investment Priority | 3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes |

## Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 3d

| **(1)** | **ID** | **Indicator** | **Measurement unit** | **Category of region** | **Target value (2023) total** | **Target value (2023) men** | **Target value (2023) women** | **2020 Total** | **2020 Men** | **2020 Women** | **Observations** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | Transition | 710.00 |  |  | 342.00 |  |  |  |
| S | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | Transition | 710.00 |  |  | 354.00 |  |  |  |
| F | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | Transition | 155.00 |  |  | 147.00 |  |  |  |
| S | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | Transition | 155.00 |  |  | 159.00 |  |  |  |
| F | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | Enterprises | Transition | 150.00 |  |  | 111.00 |  |  |  |
| S | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | Enterprises | Transition | 150.00 |  |  | 111.00 |  |  |  |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | Transition | 405.00 |  |  | 85.00 |  |  | Effective dates of indicator = 1st Jan 2020 |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | Transition | 405.00 |  |  | 85.00 |  |  | Effective dates of indicator = 1st Jan 2020 |
| F | CO05 | Productive investment: Number of new enterprises supported | Enterprises | Transition | 102.00 |  |  | 75.00 |  |  |  |
| S | CO05 | Productive investment: Number of new enterprises supported | Enterprises | Transition | 102.00 |  |  | 77.00 |  |  |  |
| F | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | EUR | Transition | 45,000,000.00 |  |  | 18,983,245.41 |  |  | Co-Fund I & II figures were previously included in the 2019 figure in error (Co-Fund scheme is supported on a 'No Aid' basis). This has now been amended. |
| S | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | EUR | Transition | 45,000,000.00 |  |  | 18,983,245.41 |  |  | Co-Fund I & II figures were previously included in the 2019 figure in error (Co-Fund scheme is supported on a 'No Aid' basis). This has now been amended. |
| F | CO08 | Productive investment: Employment increase in supported enterprises | Full time equivalents | Transition | 2,803.00 |  |  | 1,860.00 |  |  |  |
| S | CO08 | Productive investment: Employment increase in supported enterprises | Full time equivalents | Transition | 2,803.00 |  |  | 1,860.00 |  |  |  |

(1) S=Cumulative value ― outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value ― outputs delivered by operations [actual achievement]

| **(1)** | **ID** | **Indicator** | **2019 Total** | **2019 Men** | **2019 Women** | **2018 Total** | **2018 Men** | **2018 Women** | **2017 Total** | **2017 Men** | **2017 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO01 | Productive investment: Number of enterprises receiving support | 239.00 |  |  | 202.00 |  |  | 134.00 |  |  |
| S | CO01 | Productive investment: Number of enterprises receiving support | 246.00 |  |  | 210.00 |  |  | 162.00 |  |  |
| F | CO02 | Productive investment: Number of enterprises receiving grants | 134.00 |  |  | 116.00 |  |  | 59.00 |  |  |
| S | CO02 | Productive investment: Number of enterprises receiving grants | 141.00 |  |  | 124.00 |  |  | 85.00 |  |  |
| F | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 106.00 |  |  | 87.00 |  |  | 77.00 |  |  |
| S | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 106.00 |  |  | 87.00 |  |  | 77.00 |  |  |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | CO05 | Productive investment: Number of new enterprises supported | 74.00 |  |  | 65.00 |  |  | 51.00 |  |  |
| S | CO05 | Productive investment: Number of new enterprises supported | 76.00 |  |  | 68.00 |  |  | 59.00 |  |  |
| F | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 17,043,037.00 |  |  | 13,747,350.00 |  |  | 10,624,476.00 |  |  |
| S | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 17,043,037.00 |  |  | 13,747,350.00 |  |  | 10,624,476.00 |  |  |
| F | CO08 | Productive investment: Employment increase in supported enterprises | 1,723.00 |  |  | 1,606.00 |  |  | 1,083.00 |  |  |
| S | CO08 | Productive investment: Employment increase in supported enterprises | 1,846.00 |  |  | 1,679.00 |  |  | 1,083.00 |  |  |

| **(1)** | **ID** | **Indicator** | **2016 Total** | **2016 Men** | **2016 Women** | **2015 Total** | **2015 Men** | **2015 Women** | **2014 Total** | **2014 Men** | **2014 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO01 | Productive investment: Number of enterprises receiving support | 111.00 |  |  | 63.00 |  |  | 19.00 |  |  |
| S | CO01 | Productive investment: Number of enterprises receiving support | 114.00 |  |  | 64.00 |  |  | 19.00 |  |  |
| F | CO02 | Productive investment: Number of enterprises receiving grants | 51.00 |  |  | 21.00 |  |  | 0.00 |  |  |
| S | CO02 | Productive investment: Number of enterprises receiving grants | 54.00 |  |  | 22.00 |  |  | 0.00 |  |  |
| F | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 60.00 |  |  | 42.00 |  |  | 19.00 |  |  |
| S | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 60.00 |  |  | 42.00 |  |  | 19.00 |  |  |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | CO05 | Productive investment: Number of new enterprises supported | 41.00 |  |  | 27.00 |  |  | 10.00 |  |  |
| S | CO05 | Productive investment: Number of new enterprises supported | 41.00 |  |  | 27.00 |  |  | 10.00 |  |  |
| F | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 6,201,072.00 |  |  | 2,911,771.00 |  |  | 961,021.00 |  |  |
| S | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 6,201,072.00 |  |  | 2,911,771.00 |  |  | 961,021.00 |  |  |
| F | CO08 | Productive investment: Employment increase in supported enterprises | 483.00 |  |  | 127.00 |  |  | 0.00 |  |  |
| S | CO08 | Productive investment: Employment increase in supported enterprises | 483.00 |  |  | 127.00 |  |  | 0.00 |  |  |

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| --- | --- |
| Priority axis | 2 - Enhancing the Competitiveness of SMEs |
| Investment Priority | 3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes |
| Specific objective | SO3 - To increase the number of High Growth SMEs in Northern Ireland. |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2020 Total | 2020 Qualitative | Observations |
| SO3 | Number of High Growth SMEs in Northern Ireland | Enterprises | Transition | 695.00 | 2013 | 1,300.00 | 995.00 |  | After a rise in 2016-19, the number of SME high growth companies fell slightly by 2.5% in 2017-20 but is 43.2% higher than the baseline. |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2019 Total | 2019 Qualitative | 2018 Total | 2018 Qualitative | 2017 Total | 2017 Qualitative | 2016 Total | 2016 Qualitative |
| SO3 | Number of High Growth SMEs in Northern Ireland | 1,020.00 |  | 975.00 |  | 980.00 |  | 965.00 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2015 Total | 2015 Qualitative | 2014 Total | 2014 Qualitative |
| SO3 | Number of High Growth SMEs in Northern Ireland | 845.00 |  | 715.00 |  |

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| --- | --- |
| Priority axis | 2 - Enhancing the Competitiveness of SMEs |
| Investment Priority | 3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes |
| Specific objective | SO4 - To increase employment in Northern Ireland micro and small enterprises |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2020 Total | 2020 Qualitative | Observations |
| SO4 | Employment within micro and small (0-49 employees) Northern Ireland businesses | Full time equivalents | Transition | 297,000.00 | 2013 | 333,000.00 | 347,000.00 |  | The latest data for 2020 shows a significant rise in employment within micro and small business (7.4%), however when compared to 2018 the increase in employment within micro and small businesses is less (3.6%). The unexpected rise in 2020 given the impact of the pandemic seems to be led by self-employed people in businesses with no employees changing their status to "employed". According to the latest Labour Market Report (Labour Market Report (nisra.gov.uk)) “Although the majority of the decrease in employment since last year was due to decreases in the number of self-employed (which fell by 17%), analysis from ONS at a UK level has highlighted that decreases in the number of self-employed was driven, in part, by a movement of people from self-employed to employee.”  2020 Employment is up by 19.6% compared to the base year and currently exceeds the target. |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2019 Total | 2019 Qualitative | 2018 Total | 2018 Qualitative | 2017 Total | 2017 Qualitative | 2016 Total | 2016 Qualitative |
| SO4 | Employment within micro and small (0-49 employees) Northern Ireland businesses | 323,000.00 |  | 335,000.00 |  | 333,000.00 |  | 313,000.00 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2015 Total | 2015 Qualitative | 2014 Total | 2014 Qualitative |
| SO4 | Employment within micro and small (0-49 employees) Northern Ireland businesses | 303,000.00 |  | 301,000.00 |  |

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| --- | --- |
| Priority axis | 3 - Supporting the Shift Towards a Low Carbon Economy in all Sectors |
| Investment Priority | 4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector |

## Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 4c

| **(1)** | **ID** | **Indicator** | **Measurement unit** | **Category of region** | **Target value (2023) total** | **Target value (2023) men** | **Target value (2023) women** | **2020 Total** | **2020 Men** | **2020 Women** | **Observations** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO31 | Energy efficiency: Number of households with improved energy consumption classification | Households | Transition | 2,697.00 |  |  | 73.00 |  |  | 73 properties completed is much lower than had been anticipated at this stage. The Covid19 lockdown has delayed the programme works by approximately 19 weeks during 2020 and continue to hamper a return to full working. DfC in conjunction with the NI Housing Executive (NIHE) are currently conducting a review to ascertain what impact this delay as well as any potential future delays resulting from ongoing COVID 19 restrictions could have on the Projects ability to spend its full allocation and meet its 2023 performance targets. On receipt of this information, Managing Authority will analyse and identify any appropriate corrective action that can be taken to ensure that the Programme utilises its maximum allocation. |
| S | CO31 | Energy efficiency: Number of households with improved energy consumption classification | Households | Transition | 2,697.00 |  |  | 73.00 |  |  |  |
| F | CO32 | Energy efficiency: Decrease of annual primary energy consumption of public buildings | kWh/year | Transition | 23,943,682.00 |  |  | 564,070.00 |  |  | The achievement is much lower than had been anticipated at this stage. The Covid19 lockdown has delayed the programme works by approximately 19 weeks during 2020 and continue to hamper a return to full working. DfC in conjunction with the NI Housing Executive (NIHE) are currently conducting a review to ascertain what impact this delay as well as any potential future delays resulting from ongoing COVID 19 restrictions could have on the Projects ability to spend its full allocation and meet its 2023 performance targets. On receipt of this information, Managing Authority will analyse and identify any appropriate corrective action that can be taken to ensure that the Programme utilises its maximum allocation. |
| S | CO32 | Energy efficiency: Decrease of annual primary energy consumption of public buildings | kWh/year | Transition | 23,943,682.00 |  |  | 9,150,000.00 |  |  |  |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 4,064.00 |  |  | 137.00 |  |  | Achievement is much lower than had been anticipated at this stage. The Covid19 lockdown has delayed the programme works by approximately 19 weeks during 2020 and continue to hamper a return to full working. DfC in conjunction with the NI Housing Executive (NIHE) are currently conducting a review to ascertain what impact this delay as well as any potential future delays resulting from ongoing COVID 19 restrictions could have on the Projects ability to spend its full allocation and meet its 2023 performance targets. On receipt of this information, Managing Authority will analyse and identify any appropriate corrective action that can be taken to ensure that the Programme utilises its maximum allocation. |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 4,064.00 |  |  | 1,998.00 |  |  | . |

(1) S=Cumulative value ― outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value ― outputs delivered by operations [actual achievement]

| **(1)** | **ID** | **Indicator** | **2019 Total** | **2019 Men** | **2019 Women** | **2018 Total** | **2018 Men** | **2018 Women** | **2017 Total** | **2017 Men** | **2017 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO31 | Energy efficiency: Number of households with improved energy consumption classification | 55.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO31 | Energy efficiency: Number of households with improved energy consumption classification | 55.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | CO32 | Energy efficiency: Decrease of annual primary energy consumption of public buildings | 427,559.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO32 | Energy efficiency: Decrease of annual primary energy consumption of public buildings | 3,351,000.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 103.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 1,035.00 |  |  | 0.00 |  |  | 0.00 |  |  |

| **(1)** | **ID** | **Indicator** | **2016 Total** | **2016 Men** | **2016 Women** | **2015 Total** | **2015 Men** | **2015 Women** | **2014 Total** | **2014 Men** | **2014 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO31 | Energy efficiency: Number of households with improved energy consumption classification | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO31 | Energy efficiency: Number of households with improved energy consumption classification | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | CO32 | Energy efficiency: Decrease of annual primary energy consumption of public buildings | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO32 | Energy efficiency: Decrease of annual primary energy consumption of public buildings | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |

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| --- | --- |
| Priority axis | 3 - Supporting the Shift Towards a Low Carbon Economy in all Sectors |
| Investment Priority | 4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector |
| Specific objective | SO5.2 - Improve energy efficiency rating of social housing stock |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2020 Total | 2020 Qualitative | Observations |
| SO5.2 | Energy efficiency rating of social housing stock | SAP Ratings | Transition | 54.00 | 2015 | 69.00 | 64.00 |  | The target is that 50% of NIHE’s housing stock will achieve a SAP rating of 69 by the end of the programme. The improvement in SAP to date has been met due to the completion of the works to Aluminium Bungalows included as part of this programme and other improvement works completed through the NIHE’s Investment Programme (such as the installation of energy efficient heating systems, double glazing and replacement roofs). |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2019 Total | 2019 Qualitative | 2018 Total | 2018 Qualitative | 2017 Total | 2017 Qualitative | 2016 Total | 2016 Qualitative |
| SO5.2 | Energy efficiency rating of social housing stock | 63.00 |  | 56.50 |  | 54.00 |  | 0.00 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2015 Total | 2015 Qualitative | 2014 Total | 2014 Qualitative |
| SO5.2 | Energy efficiency rating of social housing stock | 0.00 |  | 0.00 |  |

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| --- | --- |
| Priority axis | 3 - Supporting the Shift Towards a Low Carbon Economy in all Sectors |
| Investment Priority | 4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures |

## Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 4e

| **(1)** | **ID** | **Indicator** | **Measurement unit** | **Category of region** | **Target value (2023) total** | **Target value (2023) men** | **Target value (2023) women** | **2020 Total** | **2020 Men** | **2020 Women** | **Observations** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 147.00 |  |  | 182.00 |  |  |  |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 147.00 |  |  | 182.00 |  |  |  |

(1) S=Cumulative value ― outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value ― outputs delivered by operations [actual achievement]

| **(1)** | **ID** | **Indicator** | **2019 Total** | **2019 Men** | **2019 Women** | **2018 Total** | **2018 Men** | **2018 Women** | **2017 Total** | **2017 Men** | **2017 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 147.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 147.00 |  |  | 0.00 |  |  | 0.00 |  |  |

| **(1)** | **ID** | **Indicator** | **2016 Total** | **2016 Men** | **2016 Women** | **2015 Total** | **2015 Men** | **2015 Women** | **2014 Total** | **2014 Men** | **2014 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |

|  |  |
| --- | --- |
| Priority axis | 3 - Supporting the Shift Towards a Low Carbon Economy in all Sectors |
| Investment Priority | 4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures |
| Specific objective | SO5.3 - Reduce journey times on key BRT Corridors into Belfast City Centre |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2020 Total | 2020 Qualitative | Observations |
| SO5.3 | Journey times on key BRT corridors into Belfast City Centre | Minutes | Transition | 36.00 | 2014 | 27.00 | 26.66 |  | The calculation of the journey times provided for the 2020 AIR does not represent the full length of the East and West glider journeys. The journey lengths reported are based on a like for like comparison between sections of previous Metro services at 2014 and the current BRT services at 2020.  The 2020 journey time was established by taking an average of East and West glider journey times. The target has been exceeded in the 2020 year however it is likely that the reduction of traffic due to the Covid 19 restrictions has contributed to this. |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2019 Total | 2019 Qualitative | 2018 Total | 2018 Qualitative | 2017 Total | 2017 Qualitative | 2016 Total | 2016 Qualitative |
| SO5.3 | Journey times on key BRT corridors into Belfast City Centre | 29.20 |  | 0.00 |  | 0.00 |  | 0.00 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ID | Indicator | 2015 Total | 2015 Qualitative | 2014 Total | 2014 Qualitative |
| SO5.3 | Journey times on key BRT corridors into Belfast City Centre | 0.00 |  | 0.00 |  |

**Priority axes for technical assistance**

## Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4

|  |  |
| --- | --- |
| Priority axis | 4 - Technical Assistance |

| **(1)** | **ID** | **Indicator** | **Measurement unit** | **Category of region** | **Target value (2023) total** | **Target value (2023) men** | **Target value (2023) women** | **2020 Total** | **2020 Men** | **2020 Women** | **Observations** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | PO41 | Number of Projects supported | Projects |  |  |  |  | 24.00 |  |  |  |
| S | PO41 | Number of Projects supported | Projects |  |  |  |  | 24.00 |  |  |  |
| F | PO42 | N+3 | EUR |  |  |  |  | 176,839,272.00 |  |  |  |
| S | PO42 | N+3 | EUR |  |  |  |  | 176,839,272.00 |  |  |  |
| F | PO43 | Annual Error Rate | % |  |  |  |  | 0.04 |  |  |  |
| S | PO43 | Annual Error Rate | % |  |  |  |  | 0.04 |  |  |  |
| F | PO44 | Programme Evaluations (conducted by external evaluators) | Evaluations |  |  |  |  | 3.00 |  |  |  |
| S | PO44 | Programme Evaluations (conducted by external evaluators) | Evaluations |  |  |  |  | 3.00 |  |  |  |
| F | PO45 | Communications Strategy | Strategy |  | 1.00 |  |  | 1.00 |  |  |  |
| S | PO45 | Communications Strategy | Strategy |  | 1.00 |  |  | 1.00 |  |  |  |
| F | PO46 | Major information activities (Reg (EU) No 1303/2013 Art 155 and Annex XI refers) | Activities |  |  |  |  | 1.00 |  |  |  |
| S | PO46 | Major information activities (Reg (EU) No 1303/2013 Art 155 and Annex XI refers) | Activities |  |  |  |  | 1.00 |  |  |  |
| F | PO47 | Number of Training Events | Events |  |  |  |  | 7.00 |  |  | Due to previous ommissions. The values of all years to 2019 have been corrected. |
| S | PO47 | Number of Training Events | Events |  |  |  |  | 7.00 |  |  |  |
| F | PO48 | Number of employees (FTEs) whose salaries are co-financed by TA | FTE |  |  |  |  | 30.10 |  |  |  |
| S | PO48 | Number of employees (FTEs) whose salaries are co-financed by TA | FTE |  |  |  |  | 30.10 |  |  |  |
| F | PO49 | Evaluation Plan | Plan |  | 1.00 |  |  | 1.00 |  |  |  |
| S | PO49 | Evaluation Plan | Plan |  | 1.00 |  |  | 1.00 |  |  |  |

(1) S=Cumulative value ― outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value ― outputs delivered by operations [actual achievement]

| **(1)** | **ID** | **Indicator** | **2019 Total** | **2019 Men** | **2019 Women** | **2018 Total** | **2018 Men** | **2018 Women** | **2017 Total** | **2017 Men** | **2017 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | PO41 | Number of Projects supported | 24.00 |  |  | 21.00 |  |  | 18.00 |  |  |
| S | PO41 | Number of Projects supported | 24.00 |  |  | 21.00 |  |  | 18.00 |  |  |
| F | PO42 | N+3 | 150,631,827.00 |  |  | 99,236,918.00 |  |  | 19,586,524.00 |  |  |
| S | PO42 | N+3 | 150,631,827.00 |  |  | 99,236,918.00 |  |  | 19,586,524.00 |  |  |
| F | PO43 | Annual Error Rate | 0.08 |  |  | 0.22 |  |  | 0.00 |  |  |
| S | PO43 | Annual Error Rate | 0.08 |  |  | 0.22 |  |  | 0.00 |  |  |
| F | PO44 | Programme Evaluations (conducted by external evaluators) | 2.00 |  |  | 1.00 |  |  | 0.00 |  |  |
| S | PO44 | Programme Evaluations (conducted by external evaluators) | 2.00 |  |  | 1.00 |  |  | 0.00 |  |  |
| F | PO45 | Communications Strategy | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| S | PO45 | Communications Strategy | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| F | PO46 | Major information activities (Reg (EU) No 1303/2013 Art 155 and Annex XI refers) | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| S | PO46 | Major information activities (Reg (EU) No 1303/2013 Art 155 and Annex XI refers) | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| F | PO47 | Number of Training Events | 7.00 |  |  | 7.00 |  |  | 6.00 |  |  |
| S | PO47 | Number of Training Events | 7.00 |  |  | 7.00 |  |  | 6.00 |  |  |
| F | PO48 | Number of employees (FTEs) whose salaries are co-financed by TA | 32.40 |  |  | 31.70 |  |  | 31.85 |  |  |
| S | PO48 | Number of employees (FTEs) whose salaries are co-financed by TA | 32.40 |  |  | 31.70 |  |  | 31.85 |  |  |
| F | PO49 | Evaluation Plan | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| S | PO49 | Evaluation Plan | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |

| **(1)** | **ID** | **Indicator** | **2016 Total** | **2016 Men** | **2016 Women** | **2015 Total** | **2015 Men** | **2015 Women** | **2014 Total** | **2014 Men** | **2014 Women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| F | PO41 | Number of Projects supported | 15.00 |  |  | 9.00 |  |  | 0.00 |  |  |
| S | PO41 | Number of Projects supported | 15.00 |  |  | 9.00 |  |  | 0.00 |  |  |
| F | PO42 | N+3 | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | PO42 | N+3 | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | PO43 | Annual Error Rate | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | PO43 | Annual Error Rate | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | PO44 | Programme Evaluations (conducted by external evaluators) | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| S | PO44 | Programme Evaluations (conducted by external evaluators) | 0.00 |  |  | 0.00 |  |  | 0.00 |  |  |
| F | PO45 | Communications Strategy | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| S | PO45 | Communications Strategy | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| F | PO46 | Major information activities (Reg (EU) No 1303/2013 Art 155 and Annex XI refers) | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| S | PO46 | Major information activities (Reg (EU) No 1303/2013 Art 155 and Annex XI refers) | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| F | PO47 | Number of Training Events | 6.00 |  |  | 4.00 |  |  | 0.00 |  |  |
| S | PO47 | Number of Training Events | 6.00 |  |  | 4.00 |  |  | 0.00 |  |  |
| F | PO48 | Number of employees (FTEs) whose salaries are co-financed by TA | 34.50 |  |  | 35.10 |  |  | 0.00 |  |  |
| S | PO48 | Number of employees (FTEs) whose salaries are co-financed by TA | 34.50 |  |  | 35.10 |  |  | 0.00 |  |  |
| F | PO49 | Evaluation Plan | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |
| S | PO49 | Evaluation Plan | 1.00 |  |  | 1.00 |  |  | 1.00 |  |  |

## Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises

|  |  |
| --- | --- |
| Indicator | Number of enterprises supported by OP net of multiple support |
| CO01 - Productive investment: Number of enterprises receiving support | 1,411 |
| CO02 - Productive investment: Number of enterprises receiving grants | 391 |
| CO03 - Productive investment: Number of enterprises receiving financial support other than grants | 111 |
| CO04 - Productive investment: Number of enterprises receiving non-financial support | 1,074 |
| CO05 - Productive investment: Number of new enterprises supported | 75 |

## Table 5: Information on the milestones and targets defined in the performance framework

| **Priority axis** | **Ind type** | **ID** | **Indicator** | **Measurement unit** | **Fund** | **Category of region** | **2020 Cum total** | **2020 Cum men** | **2020 Cum women** | **2020 Annual total** | **2020 Annual total men** | **2020 Annual total women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 1,165.00 |  |  |  |  |  |
| 1 | F | 2 | Expenditure | Euro | ERDF | Transition | 139,111,000.00 |  |  |  |  |  |
| 1 | O | PO11 | Number of Enterprises engaged in R&D for the first time | Enterprises | ERDF | Transition | 193.00 |  |  |  |  |  |
| 2 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 342.00 |  |  |  |  |  |
| 2 | F | 2 | Expenditure | Euro | ERDF | Transition | 109,828,000.00 |  |  |  |  |  |
| 3 | O | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | ERDF | Transition | 317.00 |  |  |  |  |  |
| 3 | F | 2 | Expenditure | Euro | ERDF | Transition | 36,748,000.00 |  |  |  |  |  |
| 3 | I | KIS02 | Completion of construction of the Depot | Phase | ERDF | Transition | 1.00 |  |  |  |  |  |

| **Priority axis** | **Ind type** | **ID** | **Indicator** | **Measurement unit** | **Fund** | **Category of region** | **2019 Cum total** | **2018 Cum total** | **2017 Cum total** | **Observations** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 1,027.00 | 869.00 | 633.00 |  |
| 1 | F | 2 | Expenditure | Euro | ERDF | Transition | 115,803,000.00 | 70,394,000.00 | 15,987,457.73 |  |
| 1 | O | PO11 | Number of Enterprises engaged in R&D for the first time | Enterprises | ERDF | Transition | 144.00 | 79.00 | 56.00 |  |
| 2 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 239.00 | 202.00 | 162.00 |  |
| 2 | F | 2 | Expenditure | Euro | ERDF | Transition | 91,382,000.00 | 64,223,000.33 | 15,950,338.80 |  |
| 3 | O | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | ERDF | Transition | 250.00 | 0.00 | 0.00 |  |
| 3 | F | 2 | Expenditure | Euro | ERDF | Transition | 36,102,000.00 | 28,438,681.06 | 0.00 |  |
| 3 | I | KIS02 | Completion of construction of the Depot | Phase | ERDF | Transition | 1.00 | 1.00 | 0.00 |  |

| **Priority axis** | **Ind type** | **ID** | **Indicator** | **Measurement unit** | **Fund** | **Category of region** | **2016 Cum total** | **2015 Cum total** | **2014 Cum total** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 318.00 | 176.00 | 25.00 |
| 1 | F | 2 | Expenditure | Euro | ERDF | Transition | 0.00 | 0.00 | 0.00 |
| 1 | O | PO11 | Number of Enterprises engaged in R&D for the first time | Enterprises | ERDF | Transition | 24.00 | 12.00 | 3.00 |
| 2 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 114.00 | 64.00 | 19.00 |
| 2 | F | 2 | Expenditure | Euro | ERDF | Transition | 0.00 | 0.00 | 0.00 |
| 3 | O | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | ERDF | Transition | 0.00 | 0.00 | 0.00 |
| 3 | F | 2 | Expenditure | Euro | ERDF | Transition | 0.00 | 0.00 | 0.00 |
| 3 | I | KIS02 | Completion of construction of the Depot | Phase | ERDF | Transition | 0.00 | 0.00 | 0.00 |

| **Priority axis** | **Ind type** | **ID** | **Indicator** | **Measurement unit** | **Fund** | **Category of region** | **Milestone for 2018 total** | **Milestone for 2018 men** | **Milestone for 2018 women** | **Final target (2023) total** | **Final target (2023) men** | **Final target (2023) women** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 973 |  |  | 1,674.00 |  |  |
| 1 | F | 2 | Expenditure | Euro | ERDF | Transition | 61,900,000.00 |  |  | 214,533,725.00 |  |  |
| 1 | O | PO11 | Number of Enterprises engaged in R&D for the first time | Enterprises | ERDF | Transition | 66 |  |  | 200.00 |  |  |
| 2 | O | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | ERDF | Transition | 191 |  |  | 710.00 |  |  |
| 2 | F | 2 | Expenditure | Euro | ERDF | Transition | 64,500,000.00 |  |  | 215,984,115.00 |  |  |
| 3 | O | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | ERDF | Transition |  |  |  | 4,211.00 |  |  |
| 3 | F | 2 | Expenditure | Euro | ERDF | Transition | 32,967,000 |  |  | 75,180,000.00 |  |  |
| 3 | I | KIS02 | Completion of construction of the Depot | Phase | ERDF | Transition | Physical completion of the depot to be completed |  |  | 1.00 |  |  |

## 3.4 Financial data (Article 50(2) of Regulation (EU) No 1303/2013)

## Table 6: Financial information at priority axis and programme level

(as set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for the transmission of financial data))

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Priority axis** | **Fund** | **Category of region** | **Calculation basis** | **Total fund** | **Co-financing rate** | **Total eligible cost of operations selected for support** | **Proportion of the total allocation covered with selected operations** | **Public eligible cost of operations selected for support** | **Total eligible expenditure declared by beneficiaries to the managing authority** | **Proportion of the total allocation covered by eligible expenditure declared by beneficiaries** | **Number of operations selected** |
| 1 | ERDF | Transition | Total | 214,533,725.00 | 60.00% | 276,707,378.60 | 128.98% | 168,858,381.67 | 139,110,673.42 | 64.84% | 690 |
| 2 | ERDF | Transition | Total | 215,984,115.00 | 60.00% | 284,325,973.34 | 131.64% | 179,910,343.36 | 109,827,830.25 | 50.85% | 249 |
| 3 | ERDF | Transition | Total | 75,180,000.00 | 60.00% | 75,180,000.00 | 100.00% | 75,180,000.00 | 36,747,720.36 | 48.88% | 3 |
| 4 | ERDF | Transition | Total | 16,393,640.00 | 60.00% | 14,438,642.98 | 88.07% | 14,438,642.98 | 9,045,896.37 | 55.18% | 25 |
| **Total** | **ERDF** | **Transition** |  | **522,091,480.00** | **60.00%** | **650,651,994.92** | **124.62%** | **438,387,368.01** | **294,732,120.40** | **56.45%** | **967** |
| **Grand total** |  |  |  | **522,091,480.00** | **60.00%** | **650,651,994.92** | **124.62%** | **438,387,368.01** | **294,732,120.40** | **56.45%** | **967** |

## Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ESF and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013)

| **Priority axis** | **Characteristics of expenditure** | | **Categorisation dimensions** | | | | | | | | **Financial data** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Fund** | **Category of region** | **Intervention field** | **Form of finance** | **Territorial dimension** | **Territorial delivery mechanism** | **Thematic objective dimension** | **ESF secondary theme** | **Economic dimension** | **Location dimension** | **Total eligible cost of operations selected for support** | **Public eligible cost of operations selected for support** | **Total elegibile expenditure declared by beneficiaries to the managing authority** | **Number of operations selected** |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 01 | UKN | 985,021.19 | 591,012.71 | 447,526.52 | 1 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 02 | UKN | 111,822.64 | 67,093.58 | 0.00 | 1 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 03 | UKN | 1,272,909.08 | 763,745.42 | 732,281.91 | 11 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 04 | UKN | 300,920.47 | 180,552.28 | 208,008.97 | 1 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 05 | UKN | 3,992,945.73 | 2,395,767.42 | 2,774,031.98 | 9 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 06 | UKN | 5,827,543.18 | 3,567,516.34 | 3,363,448.04 | 11 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 07 | UKN | 13,856,201.55 | 8,313,720.83 | 7,009,990.65 | 52 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 08 | UKN | 999,572.49 | 599,743.49 | 394,022.74 | 4 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 09 | UKN | 678,359.59 | 407,015.75 | 477,451.82 | 3 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 11 | UKN | 131,071.55 | 78,642.93 | 105,868.40 | 1 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 13 | UKN | 31,431,103.04 | 18,858,661.54 | 23,375,959.33 | 99 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 20 | UKN | 14,573,949.51 | 8,926,898.20 | 5,607,073.51 | 17 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 22 | UKN | 251,035.39 | 150,621.23 | 252,631.18 | 1 |
| 1 | ERDF | Transition | 056 | 01 | 07 | 07 | 01 |  | 24 | UKN | 700,105.49 | 420,063.29 | 587,222.27 | 5 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 01 | UKN | 3,422,456.47 | 2,053,473.88 | 2,512,647.10 | 3 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 03 | UKN | 4,374,475.02 | 2,624,684.99 | 2,591,537.85 | 11 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 05 | UKN | 11,560,252.25 | 6,936,151.32 | 6,663,540.92 | 10 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 06 | UKN | 30,609,389.81 | 18,365,633.88 | 11,555,192.79 | 8 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 07 | UKN | 81,803,841.56 | 49,082,304.83 | 37,974,697.23 | 42 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 09 | UKN | 1,186,430.53 | 711,858.30 | 1,063,058.47 | 5 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 13 | UKN | 38,602,103.19 | 23,161,261.84 | 16,240,072.45 | 19 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 20 | UKN | 22,970,310.72 | 13,782,186.41 | 11,701,160.62 | 7 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 22 | UKN | 455,496.95 | 273,298.17 | 558,377.54 | 1 |
| 1 | ERDF | Transition | 057 | 01 | 07 | 07 | 01 |  | 24 | UKN | 158,970.40 | 95,382.24 | 146,699.98 | 1 |
| 1 | ERDF | Transition | 064 | 01 | 07 | 07 | 01 |  | 24 | UKN | 6,451,090.80 | 6,451,090.80 | 2,768,171.15 | 367 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 01 | UKN | 36,149.97 | 21,689.98 | 22,508.54 | 1 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 03 | UKN | 13,589,674.77 | 8,153,804.68 | 5,070,902.17 | 83 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 04 | UKN | 999,999.22 | 599,999.52 | 16,117.96 | 5 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 05 | UKN | 416,749.45 | 250,049.65 | 178,037.03 | 3 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 06 | UKN | 662,216.97 | 397,330.17 | 216,068.36 | 6 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 07 | UKN | 25,943,136.37 | 15,565,880.58 | 10,511,443.26 | 76 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 08 | UKN | 42,858.21 | 25,714.92 | 41,979.26 | 1 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 09 | UKN | 292,740.15 | 175,644.08 | 80,959.98 | 3 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 11 | UKN | 30,242.98 | 18,145.79 | 30,416.21 | 1 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 14 | UKN | 927,339.66 | 556,403.79 | 622,383.64 | 7 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 15 | UKN | 782,628.00 | 469,576.80 | 0.00 | 1 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 16 | UKN | 74,536.00 | 44,721.60 | 0.00 | 1 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 17 | UKN | 1,256,684.43 | 754,010.64 | 167,770.09 | 5 |
| 2 | ERDF | Transition | 001 | 01 | 07 | 07 | 03 |  | 24 | UKN | 23,286,902.16 | 23,286,902.16 | 3,230,032.20 | 39 |
| 2 | ERDF | Transition | 067 | 03 | 07 | 07 | 03 |  | 16 | UKN | 215,984,115.00 | 129,590,469.00 | 89,639,211.55 | 17 |
| 3 | ERDF | Transition | 014 | 01 | 07 | 07 | 04 |  | 24 | UKN | 38,251,710.00 | 38,251,710.00 | 0.00 | 1 |
| 3 | ERDF | Transition | 043 | 01 | 07 | 07 | 04 |  | 12 | UKN | 36,928,290.00 | 36,928,290.00 | 36,747,720.36 | 2 |
| 4 | ERDF | Transition | 121 | 01 | 07 | 07 |  |  | 24 | UKN | 14,378,648.95 | 14,378,648.95 | 8,998,211.73 | 23 |
| 4 | ERDF | Transition | 123 | 01 | 07 | 07 |  |  | 24 | UKN | 59,994.03 | 59,994.03 | 47,684.64 | 2 |

## Table 8: The use made of cross-financing

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** |
| **Use of cross-financing** | **Priority axis** | **The amount of EU support envisaged to be used for cross financing based on selected operations (EUR)** | **As a share of the EU support to the priority axis (%) (3/EU support to priority axis\*100)** | **The amount of EU support used under cross financing based on eligible expenditure declared by the beneficiary to the managing authority (EUR)** | **As a share of the EU support to the priority axis (%) (5/EU support to priority axis\*100)** |
| Costs eligible for support under the ESF, but supported from the ERDF | 1 | 0.00 |  | 0.00 |  |
| Costs eligible for support under the ESF, but supported from the ERDF | 2 | 0.00 |  | 0.00 |  |
| Costs eligible for support under the ESF, but supported from the ERDF | 3 | 0.00 |  | 0.00 |  |
| Costs eligible for support under the ESF, but supported from the ERDF | 4 | 0.00 |  | 0.00 |  |

## Table 9: Cost of operations implemented outside the programme area (the ERDF and the Cohesion Fund under the Investment for growth and jobs goal)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** |
| **Priority axis** | **The amount of EU support envisaged to be used for operations implemented outside the programme area based on selected operations (EUR)** | **As a share of the EU support to the priority axis at the time of adoption of the programme (%) (2/EU support to priority axis at the time of adoption of the programme\*100)** | **The amount of EU support in operations implemented outside the programme area based on eligible expenditure declared by the beneficiary to the managing authority (EUR)** | **As a share of the EU support to the priority axis at the time of adoption of the programme (%) (4/EU support to priority axis at the time of adoption of the programme\*100)** |
| 1 | 0.00 |  | 0.00 |  |
| 2 | 0.00 |  | 0.00 |  |
| 3 | 0.00 |  | 0.00 |  |
| 4 | 0.00 |  | 0.00 |  |

## Table 10: Expenditure incurred outside the Union (ESF)

|  |  |  |  |
| --- | --- | --- | --- |
| The amount of expenditure envisaged to be incurred outside the Union under thematic objectives 8 and 10 based on selected operations (EUR) | Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (1/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme\*100) | Eligible expenditure incurred outside the Union declared by the beneficiary to the managing authority (EUR) | Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (3/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme\*100) |
|  |  |  |  |

# SYNTHESIS OF THE EVALUATIONS

Synthesis of the findings of all evaluations of the programme that have become available during the previous financial year, with reference of name and reference period of the evaluation reports used

|  |
| --- |
| **Priority 1**  **Grant for R&D** – The evaluation is in progress, SQW have been appointed and are currently developing their primary research tools. Final report expected early June 2021.  **Design** – The interim evaluation has been completed with the final report issued in January 2021 - https://www.investni.com/sites/default/files/2021-01/design\_for\_business\_programme\_interim\_eval\_jan2021.pdf  A number of recommendations that should be delivered upon, ideally within the currently delivery timeframe (to September 2021), or implemented within any future programme:   1. The DBP should look to monitor new products, branding and packaging developed as a result of the DBP. This would better highlight the progress that has been made by businesses in terms of implementing design and innovation and moving towards bottomline and GVA benefits. This should be explored as part of the upcoming appraisal, alongside resourcing implications. 2. The Programme Management Team should ensure that all indicators and monitoring information are collated within a single easy to use and easy to access system. 3. Promotional/awareness raising events, delivered as part of wider INI-led innovationfocussed events, should be considered for any future programme (i.e. beyond September 2021). 4. More client case studies should be developed, and these should be positioned more prominently, e.g. on the INI and DBP programme website and at events. 76 5. The findings from this evaluation in terms of best practice in design-led innovation should be communicated to the relevant contacts leading the innovation agenda within the NI Government (including the Department for the Economy). 6. DBP branding and marketing materials should be refreshed, and provide an example of good design principles, championing the success stories from client businesses. 7. Efforts should be made to better align the DBP with the INI Innovation Accreditation scheme. Design activity should be clearly recognised by the scheme. Invest NI should explore the options to make the scheme clearer and more consistent as it relates to the DBP, and review the level of accreditation awarded to DBP clients. This would allow DBP to stand alongside other programmes that deliver support to develop new products or deliver R&D/collaboration. Invest NI should also consider how other programmes have aligned with the scheme.     **Priority 2**  Evaluation of TechStart completed May 2018. The most recent evaluation can be found here: https://secure.investni.com/static/library/invest-ni/documents/techstart-funds-evaluation-nispo-impact-assessment.pdf.  **SFA** – The next evaluation of SFA is currently in progress. SQW have been appointed and are currently developing their primary research tools and will review the level of SME investment and delivery of the jobs promoted across projects, and will include the ‘SME Capital Build’ projects that have been designated under ERDF. Final report expected in early May.  **FEI – Development Funds (Kernel & Crescent)** - Invest NI agreed the Thematic Approach to Programme Evaluations. A Thematic Evaluation of all Equity supports (Techstart, Co-Fund and Development Funds) was due to commence in Q1 of this FY but other priorities brought about by Covid-19 have delayed this.   TOR currently in draft waiting approval and sign off from wider steering group. TOR will be issued end of April/start of May 2020/21  **FEI – Co-Fund** – Invest NI agreed the Thematic Approach to Programme Evaluations. A Thematic Evaluation of all Equity supports (Techstart, Co-Fund and Development Funds) was due to commence in Q1 of this FY but other priorities brought about by Covid-19 have delayed this.   TOR currently in draft waiting approval and sign off from wider steering group. TOR will be issued end of April/start of May 2020/21.  **Local Economic Development (LED)** – The final evaluation of LED is scheduled for FY2024/25 Q1 as the final deadline for claims is December 2023. |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Name** | **Fund** | **From month** | **From year** | **To month** | **To year** | **Type of evaluation** | **Thematic objective** | **Topic** | **Findings** |

# **ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (Article 50(2) of Regulation (EU) No 1303/2013)**

(a) Issues which affect the performance of the programme and the measures taken

|  |
| --- |
| N/A no issues |

(b) An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

|  |
| --- |
| **Priority 1**  The milestone targets set by December 2018 have been achieved.  However, the overall performance targets to be achieved by 31st December 2023 will prove challenging. In recognition of this a formal programme modification was submitted to the EC in December 2020 and concluded that a number of the targets set at Programme development stage were based on assumptions that have now proved to be incorrect and need to be reduced.  The modification which was approved by the EC in January 2021 will ensure that the programme’s objectives continue to be delivered as effectively and efficiently as possible, maximising the use of the programme allocation and continued progress towards achievement of the overall Programme (2023) target.    **Priority 2**  MA consider that sufficient progress is being made to ensure fulfilment of  targets    **Priority 3**  For the Energy Efficiency in Social Housing Project which has been assigned to DFC.  Up until December 2020, work has been completed on 73 properties which is much lower than had been anticipated at this stage. The Covid19 restrictions delayed the programme works by approximately 19 weeks during 2020 and continue to hamper a return to full working.  DfC in conjunction with the NI Housing Executive (NIHE) are currently conducting a review to ascertain what impact this delay as well as any potential future delays resulting from ongoing COVID 19 restrictions could have on the Projects ability to spend its full allocation and meet its performance targets.  On receipt of this information, Managing Authority will analyse and identify any appropriate corrective action that can be taken to ensure that the Programme utilises its maximum allocation.    **Priority 4**  No need for remedial action, implementation going to plan. |

# CITIZEN'S SUMMARY

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents in the SFC2014 application

# REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

|  |  |
| --- | --- |
| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 9,943,847.76 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | Co-Investment Fund I |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | No |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through Clarendon Fund Managers |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland, Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 22-Dec-2014 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 16,573,079.60 |
| 14.1. out of which ESI Funds contributions (in EUR) | 9,943,847.76 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 9,943,847.76 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 12,172,490.63 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 7,303,494.27 |
| 15.1.1. out of which ERDF (in EUR) | 7,303,494.27 |
| 15.1.2. out of which Cohesion Fund (in EUR) |  |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 4,868,996.36 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 233,446.75 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 4,635,549.61 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) |  |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 583,616.88 |
| 17.1. out of which base remuneration (in EUR) | 583,616.88 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **Co-investment Fund I** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 15,987,972.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 9,592,783.20 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 11,588,873.75 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 6,953,324.14 |
| 25.1.1. out of which ERDF (in EUR) | 6,953,324.14 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 0.00 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 4,635,549.61 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 59 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 59 |
| 29. Number of financial recipients supported by the financial product | 59 |
| 29.1. out of which large enterprises |  |
| 29.2. out of which SMEs | 59 |
| 29.2.1. out of which microenterprises | 43 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 10,560,144.87 |
| 38.3.1. out of which public contributions (EUR) | 0.00 |
| 38.3.2. out of which private contributions (EUR) | 10,560,144.87 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 2.22 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 2.40 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 19,983,361.73 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 0.00 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 5,083,079.07 |
| 36.1. out of which capital repayments (in EUR) | 5,083,079.07 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 5,083,079.07 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) |  |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 6,629,231.84 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 4,868,996.36 |
| 38.2.1. out of which public contributions (EUR) | 233,446.75 |
| 38.2.2. out of which private contributions (EUR) | 4,635,549.61 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 14.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 710.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 31.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 31.00 |

|  |  |
| --- | --- |
| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 23,573,873.40 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | Co-Investment Fund II |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | No |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through Clarendon Fund Managers |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland, Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 12-May-2017 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 39,289,789.00 |
| 14.1. out of which ESI Funds contributions (in EUR) | 23,573,873.40 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 23,573,873.40 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 11,286,724.92 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 6,772,034.85 |
| 15.1.1. out of which ERDF (in EUR) | 6,772,034.85 |
| 15.1.2. out of which Cohesion Fund (in EUR) |  |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 4,514,690.07 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 1,039,508.87 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 3,475,181.20 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) |  |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 2,598,772.18 |
| 17.1. out of which base remuneration (in EUR) | 2,598,772.18 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **Co-investment Fund II** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 34,778,497.60 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 20,867,098.56 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 8,687,952.74 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 5,212,771.54 |
| 25.1.1. out of which ERDF (in EUR) | 5,212,771.54 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 0.00 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 3,475,181.20 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 60 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 60 |
| 29. Number of financial recipients supported by the financial product | 60 |
| 29.1. out of which large enterprises | 0 |
| 29.2. out of which SMEs | 60 |
| 29.2.1. out of which microenterprises | 60 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 14,862,679.02 |
| 38.3.1. out of which public contributions (EUR) | 0.00 |
| 38.3.2. out of which private contributions (EUR) | 14,862,679.02 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 2.86 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 3.13 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 13,201,480.91 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 0.00 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 0.00 |
| 36.1. out of which capital repayments (in EUR) | 0.00 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 0.00 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) |  |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 15,715,915.60 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 4,514,690.07 |
| 38.2.1. out of which public contributions (EUR) | 1,039,508.87 |
| 38.2.2. out of which private contributions (EUR) | 3,475,181.20 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 4.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 710.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 10.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 10.00 |

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| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 15,160,622.40 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | Development Fund I (Crescent Capital) |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | Yes |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through Crescent Capital Limited |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument |  |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 05-Aug-2015 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 25,267,704.00 |
| 14.1. out of which ESI Funds contributions (in EUR) | 15,160,622.40 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 15,160,622.40 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 18,323,169.38 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 10,993,901.54 |
| 15.1.1. out of which ERDF (in EUR) | 10,993,901.54 |
| 15.1.2. out of which Cohesion Fund (in EUR) |  |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 7,329,267.84 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 885,046.50 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 6,444,221.34 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) | 0.00 |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 2,212,616.25 |
| 17.1. out of which base remuneration (in EUR) | 2,212,616.25 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **Development Fund I (Crescent Capital)** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 22,081,290.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 13,248,774.00 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 16,110,553.12 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 9,666,331.78 |
| 25.1.1. out of which ERDF (in EUR) | 9,666,331.78 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 0.00 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 6,444,221.34 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 38 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 38 |
| 29. Number of financial recipients supported by the financial product | 38 |
| 29.1. out of which large enterprises | 0 |
| 29.2. out of which SMEs | 38 |
| 29.2.1. out of which microenterprises | 18 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 16,363,248.07 |
| 38.3.1. out of which public contributions (EUR) | 0.00 |
| 38.3.2. out of which private contributions (EUR) | 16,363,248.07 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 2.03 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 2.40 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 17,400,143.73 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 25,984.37 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 0.00 |
| 36.1. out of which capital repayments (in EUR) | 0.00 |
| 36.2. out of which gains, other earnings and yields (in EUR) |  |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 0.00 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) |  |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 10,107,081.60 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 7,329,267.84 |
| 38.2.1. out of which public contributions (EUR) | 885,046.50 |
| 38.2.2. out of which private contributions (EUR) | 6,444,221.34 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 62,363,000.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 6,228,563.12 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 710.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 8.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 8.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 3.00 |

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| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 15,384,230.40 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | Development Fund II (Kernel Capital) |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | Yes |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through The Bank of Ireland Kernel Capital Growth Fund (NI) |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland, Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 10-Feb-2016 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 25,640,384.00 |
| 14.1. out of which ESI Funds contributions (in EUR) | 15,384,230.40 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 15,384,230.40 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 15,249,312.25 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 9,149,587.28 |
| 15.1.1. out of which ERDF (in EUR) | 9,149,587.28 |
| 15.1.2. out of which Cohesion Fund (in EUR) |  |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 6,099,724.97 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 928,770.34 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 5,170,954.63 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) |  |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 2,321,925.86 |
| 17.1. out of which base remuneration (in EUR) | 2,321,925.86 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **The Bank of Ireland Kernel Capital Growth Fund (NI)** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 22,491,238.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 13,494,742.80 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 12,927,386.39 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 7,756,431.76 |
| 25.1.1. out of which ERDF (in EUR) | 7,756,431.76 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 0.00 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 5,170,954.63 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 33 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 33 |
| 29. Number of financial recipients supported by the financial product | 33 |
| 29.1. out of which large enterprises | 0 |
| 29.2. out of which SMEs | 33 |
| 29.2.1. out of which microenterprises | 9 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 18,570,050.30 |
| 38.3.1. out of which public contributions (EUR) | 0.00 |
| 38.3.2. out of which private contributions (EUR) | 18,570,050.30 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 2.03 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 2.95 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 1,778,578.32 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 0.00 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 0.00 |
| 36.1. out of which capital repayments (in EUR) | 0.00 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 0.00 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) |  |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 10,256,153.60 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 6,099,724.97 |
| 38.2.1. out of which public contributions (EUR) | 928,770.34 |
| 38.2.2. out of which private contributions (EUR) | 5,170,954.63 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 62,363,000.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 5,170,954.63 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 6.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 2.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 710.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 6.00 |

|  |  |
| --- | --- |
| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 14,904,161.88 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | Development – Crescent IV |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | Yes |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Inest NI through Crescent IV GP Limited |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland, Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 12-Sep-2019 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 24,840,269.80 |
| 14.1. out of which ESI Funds contributions (in EUR) | 14,904,161.88 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 14,904,161.88 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) | 0.00 |
| 14.1.3. out of which ESF (in EUR) (optional) | 0.00 |
| 14.1.4. out of which EAFRD (in EUR) (optional) | 0.00 |
| 14.1.5. out of which EMFF (in EUR) (optional) | 0.00 |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 0.00 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 0.00 |
| 15.1.1. out of which ERDF (in EUR) | 0.00 |
| 15.1.2. out of which Cohesion Fund (in EUR) | 0.00 |
| 15.1.3. out of which ESF (in EUR) | 0.00 |
| 15.1.4. out of which EAFRD (in EUR) | 0.00 |
| 15.1.5. out of which EMFF (in EUR) | 0.00 |
| 15.2. out of which total amount of national co-financing (in EUR) | 0.00 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 0.00 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 0.00 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) | 0.00 |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 0.00 |
| 17.1. out of which base remuneration (in EUR) | 0.00 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **Development - Crescent IV** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 25,714,920.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 15,428,952.00 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 0.00 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 0.00 |
| 25.1.1. out of which ERDF (in EUR) | 0.00 |
| 25.1.2. out of which Cohesion Fund (in EUR) | 0.00 |
| 25.1.3. out of which ESF (in EUR) | 0.00 |
| 25.1.4. out of which EAFRD (in EUR) | 0.00 |
| 25.1.5. out of which EMFF (in EUR) | 0.00 |
| 25.2. out of which total amount of national public co-financing (in EUR) | 0.00 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 0.00 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 0 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 0 |
| 29. Number of financial recipients supported by the financial product | 0 |
| 29.1. out of which large enterprises | 0 |
| 29.2. out of which SMEs | 0 |
| 29.2.1. out of which microenterprises | 0 |
| 29.3. out of which individuals/natural persons | 0 |
| 29.4. out of which other type of final recipients supported | 0 |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 0.00 |
| 38.3.1. out of which public contributions (EUR) | 0.00 |
| 38.3.2. out of which private contributions (EUR) | 0.00 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 2.00 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product |  |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 0.00 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 0.00 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 0.00 |
| 36.1. out of which capital repayments (in EUR) | 0.00 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 0.00 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) | 0.00 |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 9,936,107.92 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) | 9,936,107.92 |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 0.00 |
| 38.2.1. out of which public contributions (EUR) | 0.00 |
| 38.2.2. out of which private contributions (EUR) | 0.00 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) | 0.00 |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |

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| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 14,758,128.00 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | Growth Loan Fund 2 |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | Yes |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | No |
| 9.0.5. Quasi-equity | No |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through WhiteRock Capital PArtners LLP |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland, Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 01-Oct-2018 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 24,596,880.00 |
| 14.1. out of which ESI Funds contributions (in EUR) | 14,758,128.00 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 14,758,128.00 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 9,917,591.32 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 5,950,554.79 |
| 15.1.1. out of which ERDF (in EUR) | 5,950,554.79 |
| 15.1.2. out of which Cohesion Fund (in EUR) | 0.00 |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 3,967,036.53 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 3,967,036.53 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 0.00 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) |  |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 1,767,900.75 |
| 17.1. out of which base remuneration (in EUR) | 1,767,900.75 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **Growth Loan Fund 2** |
| 22.1. Type of financial product offered by the financial instrument | Loan |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 20,627,838.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 12,376,702.80 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 8,149,690.57 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 4,889,814.34 |
| 25.1.1. out of which ERDF (in EUR) | 4,889,814.34 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 3,259,876.23 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 0.00 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 21 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 21 |
| 29. Number of financial recipients supported by the financial product | 21 |
| 29.1. out of which large enterprises |  |
| 29.2. out of which SMEs | 21 |
| 29.2.1. out of which microenterprises | 7 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| 33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default |  |
| 34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR) |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 3,259,876.23 |
| 38.3.1. out of which public contributions (EUR) | 3,259,876.23 |
| 38.3.2. out of which private contributions (EUR) | 0.00 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 1.88 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 1.41 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 735,115.77 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 1,149,742.02 |
| 36.1. out of which capital repayments (in EUR) | 1,149,742.02 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 1,149,742.02 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) | 0.00 |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 9,838,752.00 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 3,967,036.53 |
| 38.2.1. out of which public contributions (EUR) | 3,967,036.53 |
| 38.2.2. out of which private contributions (EUR) | 0.00 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 3.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 15.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 1.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 15.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 62,363,000.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |

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| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 11,236,302.00 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | Techstart Ventures II |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | Yes |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through Crescent Capital IV Founder Fund Managers |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland, Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 12-Sep-2019 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 18,727,170.00 |
| 14.1. out of which ESI Funds contributions (in EUR) | 11,236,302.00 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 11,236,302.00 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) | 0.00 |
| 14.1.3. out of which ESF (in EUR) (optional) | 0.00 |
| 14.1.4. out of which EAFRD (in EUR) (optional) | 0.00 |
| 14.1.5. out of which EMFF (in EUR) (optional) | 0.00 |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 0.00 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 0.00 |
| 15.1.1. out of which ERDF (in EUR) | 0.00 |
| 15.1.2. out of which Cohesion Fund (in EUR) | 0.00 |
| 15.1.3. out of which ESF (in EUR) | 0.00 |
| 15.1.4. out of which EAFRD (in EUR) | 0.00 |
| 15.1.5. out of which EMFF (in EUR) | 0.00 |
| 15.2. out of which total amount of national co-financing (in EUR) | 0.00 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 0.00 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 0.00 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) | 0.00 |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 0.00 |
| 17.1. out of which base remuneration (in EUR) | 0.00 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **Techstart Ventures II** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 18,727,170.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 11,236,302.00 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 0.00 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 0.00 |
| 25.1.1. out of which ERDF (in EUR) | 0.00 |
| 25.1.2. out of which Cohesion Fund (in EUR) | 0.00 |
| 25.1.3. out of which ESF (in EUR) | 0.00 |
| 25.1.4. out of which EAFRD (in EUR) | 0.00 |
| 25.1.5. out of which EMFF (in EUR) | 0.00 |
| 25.2. out of which total amount of national public co-financing (in EUR) | 0.00 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 0.00 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 0 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 0 |
| 29. Number of financial recipients supported by the financial product | 0 |
| 29.1. out of which large enterprises | 0 |
| 29.2. out of which SMEs | 0 |
| 29.2.1. out of which microenterprises | 0 |
| 29.3. out of which individuals/natural persons | 0 |
| 29.4. out of which other type of final recipients supported | 0 |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 0.00 |
| 38.3.1. out of which public contributions (EUR) | 0.00 |
| 38.3.2. out of which private contributions (EUR) | 0.00 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 2.00 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product |  |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) | 0.00 |
| 40. Value of investments and participations in equity (in EUR) | 0.00 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 0.00 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 0.00 |
| 36.1. out of which capital repayments (in EUR) | 0.00 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 0.00 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) | 0.00 |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 7,490,868.00 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) | 0.00 |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 0.00 |
| 38.2.1. out of which public contributions (EUR) | 0.00 |
| 38.2.2. out of which private contributions (EUR) | 0.00 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) | 0.00 |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 0.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 0.00 |

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| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 2,096,325.00 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | techstart NI Queen's University of Belfast |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions | No |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | Yes |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through Pentech Ventures LLP |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 09-Jul-2014 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 3,493,875.00 |
| 14.1. out of which ESI Funds contributions (in EUR) | 2,096,325.00 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 2,096,325.00 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 2,971,767.85 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 1,783,060.69 |
| 15.1.1. out of which ERDF (in EUR) | 1,783,060.69 |
| 15.1.2. out of which Cohesion Fund (in EUR) |  |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 1,188,707.16 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 391,192.42 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 797,514.74 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) |  |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 502,620.11 |
| 17.1. out of which base remuneration (in EUR) | 502,620.11 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **Techstart NI Queens University of Belfast Equity Fund** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 2,795,100.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 1,677,060.00 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 2,469,147.73 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 1,481,488.62 |
| 25.1.1. out of which ERDF (in EUR) | 1,481,488.62 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 190,144.37 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 797,514.74 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 12 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 12 |
| 29. Number of financial recipients supported by the financial product | 12 |
| 29.1. out of which large enterprises |  |
| 29.2. out of which SMEs | 12 |
| 29.2.1. out of which microenterprises | 10 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 1,591,564.26 |
| 38.3.1. out of which public contributions (EUR) | 190,144.37 |
| 38.3.2. out of which private contributions (EUR) | 1,401,419.89 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 1.67 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 1.77 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 1,378,168.78 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 403.97 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 798,783.28 |
| 36.1. out of which capital repayments (in EUR) | 798,783.28 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 798,783.28 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) |  |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 1,397,550.00 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 1,188,707.16 |
| 38.2.1. out of which public contributions (EUR) | 391,192.42 |
| 38.2.2. out of which private contributions (EUR) | 797,514.74 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 62,363,000.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 797,515.87 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 3.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 4.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 710.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 4.00 |

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| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 20,436,653.16 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | techstart NI SME equity fund |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | Yes |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through Pentech Ventures LLP |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument | Northern Ireland, Belfast |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 05-Aug-2015 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 34,061,088.60 |
| 14.1. out of which ESI Funds contributions (in EUR) | 20,436,653.16 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 20,436,653.16 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 26,550,963.06 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 15,930,577.66 |
| 15.1.1. out of which ERDF (in EUR) | 15,930,577.66 |
| 15.1.2. out of which Cohesion Fund (in EUR) |  |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 10,620,385.40 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 3,960,573.58 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 6,659,811.82 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) |  |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 4,058,583.31 |
| 17.1. out of which base remuneration (in EUR) | 4,058,583.31 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **SME Equity** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 28,510,020.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 17,106,012.00 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 22,548,227.13 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 13,495,427.68 |
| 25.1.1. out of which ERDF (in EUR) | 13,495,427.68 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 2,337,140.26 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 6,715,659.19 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 101 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 101 |
| 29. Number of financial recipients supported by the financial product | 101 |
| 29.1. out of which large enterprises |  |
| 29.2. out of which SMEs | 101 |
| 29.2.1. out of which microenterprises | 87 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 30,991,570.50 |
| 38.3.1. out of which public contributions (EUR) | 2,337,140.26 |
| 38.3.2. out of which private contributions (EUR) | 28,654,430.24 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 1.29 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 2.86 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 12,885,985.67 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 1,948.46 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 2,045,571.62 |
| 36.1. out of which capital repayments (in EUR) | 2,045,571.62 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 2,045,571.62 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) |  |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 13,624,435.44 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 10,676,232.77 |
| 38.2.1. out of which public contributions (EUR) | 3,960,573.58 |
| 38.2.2. out of which private contributions (EUR) | 6,715,659.19 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 33.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 27.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 62,363,000.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 5,904,150.62 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 710.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 33.00 |

|  |  |
| --- | --- |
| **I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)** | |
| **1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.** | |
| 1.1. Priority axis supporting the financial instrument under the ESI Fund programme | 2 - Enhancing the Competitiveness of SMEs |
| 2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure | ERDF |
| ***3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument*** | ***03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)*** |
| 3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional) | 2,096,325.00 |
| **4. other ESI Fund programmes providing contribution to the financial instrument** | |
| 4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument |  |
| 30. Date of completion of the ex ante assessment | 31-Mar-2014 |
| **31. Selection of bodies implementing financial instrument** | |
| 31.1. Has selection or designation process already been launched | Yes |
| **II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)** | |
| 5. Name of the financial instrument | techstart NI University of Ulster Equity Fund |
| 6. Official address/place of business of the financial instrument (name of the country and city) | Northern Ireland, Belfast |
| **7. Implementation arrangements** | |
| 7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions |  |
| 7.1.1. Name of the Union-level financial instrument |  |
| 7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013 | Investment in the capital of existing or newly created legal entities |
| 7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c) |  |
| 8. Type of the financial instrument | Specific fund |
| 8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments" | Tailor-made |
| **9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013** | |
| 9.0.1. Loans (≥ EUR 25,000) | No |
| 9.0.2. Micro-loans (< EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final | No |
| 9.0.3. Guarantees | No |
| 9.0.4. Equity | Yes |
| 9.0.5. Quasi-equity | Yes |
| 9.0.6. Other financial products | No |
| 9.0.7. Other support combined with a financial instrument | No |
| 9.1. Description of the other financial product |  |
| 9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013 |  |
| 10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution | Separate block of finance |
| **III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)** | |
| **11. Body implementing the financial instrument** | |
| 11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only) | Body governed by public or private law |
| 11.1.1. Name of the body implementing the financial instrument | Invest NI through Pentech Ventures LLP |
| 11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument |  |
| 12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure | Selection in accordance with the provisions of the public procurement directive |
| 12.1. Description of the other procedure of selecting the body implementing the financial instrument |  |
| 13. Date of signature of the funding agreement with the body implementing the financial instrument | 09-Jul-2014 |
| **IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)** | |
| 14. Total amount of programme contributions committed in the funding agreement (in EUR) | 3,493,875.00 |
| 14.1. out of which ESI Funds contributions (in EUR) | 2,096,325.00 |
| 14.1.1. out of which ERDF (in EUR) (optional) | 2,096,325.00 |
| 14.1.2. out of which Cohesion Fund (in EUR) (optional) |  |
| 14.1.3. out of which ESF (in EUR) (optional) |  |
| 14.1.4. out of which EAFRD (in EUR) (optional) |  |
| 14.1.5. out of which EMFF (in EUR) (optional) |  |
| 15. Total amount of programme contributions paid to the financial instrument (in EUR) | 3,000,786.51 |
| 15.1. out of which amount of ESI Funds contributions (in EUR) | 1,800,471.88 |
| 15.1.1. out of which ERDF (in EUR) | 1,800,471.88 |
| 15.1.2. out of which Cohesion Fund (in EUR) |  |
| 15.1.3. out of which ESF (in EUR) |  |
| 15.1.4. out of which EAFRD (in EUR) |  |
| 15.1.5. out of which EMFF (in EUR) |  |
| 15.2. out of which total amount of national co-financing (in EUR) | 1,200,314.63 |
| 15.2.1. out of which total amount of national public funding (in EUR) | 278,810.87 |
| 15.2.2. out of which total amount of national private funding (in EUR) | 921,503.76 |
| 16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR) |  |
| 17. Total amount of management costs and fees paid out of programme contributions (in EUR) | 502,767.73 |
| 17.1. out of which base remuneration (in EUR) | 502,767.73 |
| 17.2. out of which performance-based remuneration (in EUR) | 0.00 |
| 18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR) |  |
| 19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR) |  |
| 20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| 21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR) |  |
| **V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)** | |
| ***22. Name of financial product offered by the financial instrument*** | **University of Ulster Equity** |
| 22.1. Type of financial product offered by the financial instrument | Equity |
| 24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR) | 2,795,100.00 |
| 24.1. out of which total amount of ESI Funds contributions (in EUR) | 1,677,060.00 |
| 25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR) | 2,498,018.78 |
| 25.1. out of which total amount of ESI Funds contributions (in EUR) | 1,498,811.24 |
| 25.1.1. out of which ERDF (in EUR) | 1,498,811.24 |
| 25.1.2. out of which Cohesion Fund (in EUR) |  |
| 25.1.3. out of which ESF (in EUR) |  |
| 25.1.4. out of which EAFRD (in EUR) |  |
| 25.1.5. out of which EMFF (in EUR) |  |
| 25.2. out of which total amount of national public co-financing (in EUR) | 77,703.78 |
| 25.3. out of which total amount of national private co-financing (in EUR) | 921,503.76 |
| 27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product | 18 |
| 28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product | 18 |
| 29. Number of financial recipients supported by the financial product | 18 |
| 29.1. out of which large enterprises |  |
| 29.2. out of which SMEs | 18 |
| 29.2.1. out of which microenterprises | 17 |
| 29.3. out of which individuals/natural persons |  |
| 29.4. out of which other type of final recipients supported |  |
| 29.4.1. description of other type of final recipients supported |  |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR) | 2,998,807.09 |
| 38.3.1. out of which public contributions (EUR) | 77,703.78 |
| 38.3.2. out of which private contributions (EUR) | 2,921,103.31 |
| 38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **39. Expected and achieved leverage effect, by reference to the funding agreement** | |
| 39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product | 1.67 |
| 39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product | 2.57 |
| 39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional) |  |
| 40. Value of investments and participations in equity (in EUR) | 2,522,849.43 |
| **VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)** | |
| 32. Information whether the financial instrument was still operational at the end of the reporting year | Yes |
| 32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up |  |
| **VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)** | |
| 35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR) | 181.45 |
| 36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR) | 0.00 |
| 36.1. out of which capital repayments (in EUR) | 0.00 |
| 36.2. out of which gains, other earnings and yields (in EUR) | 0.00 |
| 37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a | 0.00 |
| 37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR) | 0.00 |
| 37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR) | 0.00 |
| 37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR) |  |
| **VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)** | |
| **38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)** | |
| 38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR) | 1,397,550.00 |
| 38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| 38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR) | 1,200,314.63 |
| 38.2.1. out of which public contributions (EUR) | 278,810.87 |
| 38.2.2. out of which private contributions (EUR) | 921,503.76 |
| 38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR) |  |
| **IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)** | |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO03 - Productive investment: Number of enterprises receiving financial support other than grants*** |
| 41.1. Target value of the output indicator | 475.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 4.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO01 - Productive investment: Number of enterprises receiving support*** |
| 41.1. Target value of the output indicator | 710.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 4.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO05 - Productive investment: Number of new enterprises supported*** |
| 41.1. Target value of the output indicator | 102.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 4.00 |
| ***41. Output indicator (code number and name) to which the financial instrument contributes*** | ***CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)*** |
| 41.1. Target value of the output indicator | 62,363,000.00 |
| 41.2. Value achieved by the financial instrument in relation to the target value of the output indicator | 882,061.18 |

# Optional for the report to be submitted in 2016, not applicable to other light reports: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES

Table 14: Actions taken to fulfil applicable general ex-ante conditionalities

| General ex-ante conditionality | Criteria not fulfilled | Actions taken | Deadline | Bodies responsible | Action completed by the deadline | Criteria fulfilled | Expected date for full implementation of remaining actions | Commentary |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |

Table 15: Actions taken to fulfil applicable thematic ex-ante conditionalities

| General ex-ante conditionality | Criteria not fulfilled | Actions taken | Deadline | Bodies responsible | Action completed by the deadline | Criteria fulfilled | Expected date for full implementation of remaining actions | Commentary |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |

# PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (Article 101(h) and 111(3) of Regulation (EU) No 1303/2013)

## Major projects

Table 12: Major projects

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Project | CCI | Status of MP | Total investments | Total eligible costs | Planned notification / submission date | Date of tacit agreement / approval by Commission | Planned start of implementation (year, quarter) | Planned completion date | Priority Axis / Investment priorities | Current state of realisation — financial progress (% of expenditure certified to Commission compared to total eligible cost) | Current state of realisation — physical progress Main implementation stage of the project | Main outputs | Date of signature of first works contract | Observations |

**Significant problems encountered in implementing major projects and measures taken to overcome them**

|  |
| --- |
|  |

**Any change planned in the list of major projects in the operational programme**

|  |
| --- |
|  |

## Joint action plans

**Progress in the implementation of different stages of joint action plans**

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| --- |
| N/A |

Table 13: Joint action plans (JAP)

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Title of the JAP** | **CCI** | **Stage of implementation of JAP** | **Total eligible costs** | **Total public support** | **OP contribution to JAP** | **Priority axis** | **Type of JAP** | **[Planned] submission to the Commission** | **[Planned] start of implementation** | **[Planned] completion** | **Main outputs and results** | **Total eligible expenditure certified to the Commission** | **Observations** |

**Significant problems encountered and measures taken to overcome them**

|  |
| --- |
|  |

**PART B**

**REPORTING SUBMITTED IN YEARS 2017, 2019 AND FINAL IMPLEMENTATION REPORT**

**(Article 50(4), 111(3) and (4) of regulation (EU) No 1303/2013**

# ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (Articles 50(4) and 111(4) of Regulation (EU) No 1303/2013)

## Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)

|  |  |
| --- | --- |
| Priority axis | 1 - Strengthening Research, Technical Development and Innovation |

|  |
| --- |
|  |

|  |  |
| --- | --- |
| Priority axis | 2 - Enhancing the Competitiveness of SMEs |

|  |
| --- |
|  |

|  |  |
| --- | --- |
| Priority axis | 3 - Supporting the Shift Towards a Low Carbon Economy in all Sectors |

|  |
| --- |
|  |

|  |  |
| --- | --- |
| Priority axis | 4 - Technical Assistance |

|  |
| --- |
|  |

## Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)

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|  |

## Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)

|  |
| --- |
|  |

## Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)

|  |  |  |
| --- | --- | --- |
| **Priority axis** | **Amount of support to be used for climate change objectives (EUR)** | **Proportion of total allocation to the operational programme (%)** |
| 3 | 31,813,815.60 | 70.53% |
| **Total** | **31,813,815.60** | **10.16%** |

|  |
| --- |
|  |

## Role of partners in the implementation of the programme

|  |
| --- |
|  |

# OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (a) AND (b), OF REGULATION (EU) No 1303/2013

## Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations

|  |
| --- |
|  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Status** | **Name** | **Fund** | **Year of finalizing evaluation** | **Type of evaluation** | **Thematic objective** | **Topic** | **Findings (in case of executed)** | **Follow up (in case of executed)** |

## The results of the information and publicity measures of the Funds carried out under the communication strategy

|  |
| --- |
|  |

# ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (Article 50(4) of Regulation (EU) No 1303/2013) (May be included in report to be submitted in 2016 (see point 9 above). Required in report submitted in 2017) Option: progress report

# ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (Article 111(4), second subparagraph, (a), (b), (c), (d), (g) and (h), of Regulation (EU) No 1303/2013)

## Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, integrated territorial investments, sustainable urban development, and community led local development under the operational programme

|  |
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|  |

## Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds

|  |
| --- |
|  |

## Progress in the implementation of any interregional and transnational actions

|  |
| --- |
|  |

## Where appropriate, the contribution to macro-regional and sea basin strategies

As stipulated by the Regulation (EU) No 1303/2013, article 27(3) on the "content of programmes", article 96(3)(e) on the "content, adoption and amendment of operational programmes under the Investment for growth and jobs goal", article 111(3), article 111(4)(d) on "implementation reports for the Investment for growth and jobs goal", and Annex 1, section 7.3 on "contribution of mainstream programmes to macro-regional and sea-basin strategies, this programme contributes to MRS(s) and/or SBS:

|  |
| --- |
| The NI ERDF Programme was developed on the basis of NI needs and development priorities as evidenced through NI strategies, CSRs and the Commission’s 2012 Position Paper. With numerous competing and pressing priorities for ERDF funding directly related to the aims of TOs 1, 3 and 4, it was acknowledged that implementation of Atlantic Strategy actions would not be directly targeted. However, the nature of research and innovation activity planned meant that there could be projects supported that contribute to blue growth. |

🞎 EU Strategy for the Baltic Sea Region (EUSBSR)

🞎 EU Strategy for the Danube Region (EUSDR)

🞎 EU Strategy for the Adriatic and Ionian Region (EUSAIR)

🞎 EU Strategy for the Alpine Region (EUSALP)

🗹 Atlantic Sea Basin Strategy (ATLSBS)

### ATLSBS

**Priority(s) and objective(s) that the programme is relevant to:**

|  |  |  |
| --- | --- | --- |
|  | **Priority** | **Objective** |
| 🗹 | 1 - Promote entrepreneurship and innovation | 1.1 - Sharing knowledge between higher education organisations, companies and research centers |
| 🗹 | 1 - Promote entrepreneurship and innovation | 1.2 - Enhancement of competitiveness and innovation capacities in the maritime economy of the Atlantic area |
| 🗹 | 1 - Promote entrepreneurship and innovation | 1.3 - Fostering adaptation and diversification of economic activities by promoting the potential of the Atlantic area |
| 🞎 | 2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment | 2.1 - Improving maritime safety and security |
| 🞎 | 2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment | 2.2 - Exploring and protecting marine waters and coastal zones |
| 🞎 | 2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment | 2.3 - Sustainable management of marine resources |
| 🞎 | 2 - Protect, secure and develop the potential of the Atlantic marine and coastal environment | 2.4 - Exploitation of the renewable energy potential of the Atlantic area's marine and coastal environment |
| 🞎 | 3 - Improve accessibility and connectivity | 3.1 - Promoting cooperation between ports |
| 🞎 | 4 - Create a socially inclusive and sustainable model of regional development | 4.1 - Fostering better knowledge of social challenges in the Atlantic area |
| 🞎 | 4 - Create a socially inclusive and sustainable model of regional development | 4.2 - Preserving and promoting the Atlantic's cultural heritage |

**Actions or mechanisms used to better link the programme with the Atlantic SBS**

**A. Are the Sea Basin Strategic coordinators (mainly National Coordinators, Priority Area Coordinators or members, and/or Objective coordinators or members) participating in the Monitoring Committee of the programme?**

Yes 🞎 No 🗹

**B. In selection criteria, have extra points been attributed to specific measures supporting the ATLSBS?**

Yes 🞎 No 🗹

**C. Has the programme invested EU funds in the ATLSBS?**

Yes 🞎 No 🗹

Does your programme plan to invest in the ATLSBS in the future? Please elaborate (1 specific sentence)

|  |
| --- |
| N/A |

**D. Obtained results in relation to the ATLSBS (n.a. for 2016)**

|  |
| --- |
| N/A |

## **Progress in the implementation of actions in the field of social innovation, where appropriate**

|  |
| --- |
|  |

## Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used

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**PART C REPORTING SUBMITTED IN YEAR 2019 AND FINAL IMPLEMENTATION REPORT (Article 50(5) of Regulation (EU) No 1303/2013)**

# FINANCIAL INFORMATION AT PRIORITY AXIS AND PROGRAMME LEVEL (Articles 21(2) and 22(7) of Regulation (EU) No 1303/2013)

# SMART, SUSTAINABLE AND INCLUSIVE GROWTH (option progress report)

Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

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# ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (Article 50(2) of Regulation (EU) No 1303/2013)

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

|  |
| --- |
|  |

Documents

| **Document title** | **Document type** | **Document date** | **Local reference** | **Commission reference** | **Files** | **Sent date** | **Sent By** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| AIR 2020 - Citizens Summary | Citizens' summary | 21-Apr-2021 |  | Ares(2021)3277841 | AIR 2020 - Citizens Summary | 18-May-2021 | nhipaula |

Latest validation results

| **Severity** | **Code** | **Message** |
| --- | --- | --- |
| Info |  | Implementation report version has been validated |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 1, investment priority: 1b, specific objective: SO1, indicator: SO1, region category: T, year: 2014 (1.20 < 1.40). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 1, investment priority: 1b, specific objective: SO1, indicator: SO1, region category: T, year: 2016 (1.30 < 1.40). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 1, investment priority: 1b, specific objective: SO1, indicator: SO1, region category: T, year: 2017 (1.30 < 1.40). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 1, investment priority: 1b, specific objective: SO1, indicator: SO1, region category: T, year: 2018 (1.30 < 1.40). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 1, investment priority: 1b, specific objective: SO1, indicator: SO1, region category: T, year: 2019 (1.30 < 1.40). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 1, investment priority: 1b, specific objective: SO1, indicator: SO1, region category: T, year: 2020 (1.30 < 1.40). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 3, investment priority: 4c, specific objective: SO5.2, indicator: SO5.2, region category: T, year: 2014 (0.00 < 54.00). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 3, investment priority: 4c, specific objective: SO5.2, indicator: SO5.2, region category: T, year: 2015 (0.00 < 54.00). Please check. |
| Warning | 2.48 | Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 3, investment priority: 4c, specific objective: SO5.2, indicator: SO5.2, region category: T, year: 2016 (0.00 < 54.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 1, investment priority: 1b, specific objective: SO2, indicator: SO2, region category: T, year: 2015 (713.00 > 700.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 1, investment priority: 1b, specific objective: SO2, indicator: SO2, region category: T, year: 2016 (748.00 > 700.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 1, investment priority: 1b, specific objective: SO2, indicator: SO2, region category: T, year: 2017 (897.00 > 700.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 1, investment priority: 1b, specific objective: SO2, indicator: SO2, region category: T, year: 2018 (961.00 > 700.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 1, investment priority: 1b, specific objective: SO2, indicator: SO2, region category: T, year: 2019 (961.00 > 700.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 1, investment priority: 1b, specific objective: SO2, indicator: SO2, region category: T, year: 2020 (966.00 > 700.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 2, investment priority: 3d, specific objective: SO4, indicator: SO4, region category: T, year: 2018 (335,000.00 > 333,000.00). Please check. |
| Warning | 2.49 | Annual value entered in table 1 exceeds the target value for priority axis: 2, investment priority: 3d, specific objective: SO4, indicator: SO4, region category: T, year: 2020 (347,000.00 > 333,000.00). Please check. |
| Warning | 2.51 | Annual value entered in table 1 is below the target value for priority axis: 3, investment priority: 4e, specific objective: SO5.3, indicator: SO5.3, region category: T, year: 2014 (0.00 < 27.00). Please check. |
| Warning | 2.51 | Annual value entered in table 1 is below the target value for priority axis: 3, investment priority: 4e, specific objective: SO5.3, indicator: SO5.3, region category: T, year: 2015 (0.00 < 27.00). Please check. |
| Warning | 2.51 | Annual value entered in table 1 is below the target value for priority axis: 3, investment priority: 4e, specific objective: SO5.3, indicator: SO5.3, region category: T, year: 2016 (0.00 < 27.00). Please check. |
| Warning | 2.51 | Annual value entered in table 1 is below the target value for priority axis: 3, investment priority: 4e, specific objective: SO5.3, indicator: SO5.3, region category: T, year: 2017 (0.00 < 27.00). Please check. |
| Warning | 2.51 | Annual value entered in table 1 is below the target value for priority axis: 3, investment priority: 4e, specific objective: SO5.3, indicator: SO5.3, region category: T, year: 2018 (0.00 < 27.00). Please check. |
| Warning | 2.51 | Annual value entered in table 1 is below the target value for priority axis: 3, investment priority: 4e, specific objective: SO5.3, indicator: SO5.3, region category: T, year: 2020 (26.66 < 27.00). Please check. |
| Warning | 2.52 | In table 3A, the annual total value entered is 102.58% of the total target value for "S", priority axis: 2, investment priority: 3d, indicator: CO02, region category: T, year: 2020. Please check. |
| Warning | 2.52 | In table 3A, the annual total value entered is 123.81% of the total target value for "S", priority axis: 3, investment priority: 4e, indicator: CO34, region category: T, year: 2020. Please check. |
| Warning | 2.53 | In table 3A, the annual total value entered is 123.81% of the total target value for "F", priority axis: 3, investment priority: 4e, indicator: CO34, region category: T, year: 2020. Please check. |
| Warning | 2.166 | Possible missing data in element 25 (when element 24 is > 0 in the previous AIR for the same FI and Product, the element 25 in the current AIR should be > 0).  Financial instrument:Development – Crescent IV, Product: Development - Crescent IV, Element 24 in prev AIR: 27001540 |
| Warning | 2.166 | Possible missing data in element 25 (when element 24 is > 0 in the previous AIR for the same FI and Product, the element 25 in the current AIR should be > 0).  Financial instrument:Techstart Ventures II, Product: Techstart Ventures II, Element 24 in prev AIR: 27001540 |